

General information about company

Scrip code

508905

NSE Symbol

MSEI Symbol

ISIN

INE641A01013

Name of the company

SMIFS CAPITAL MARKETS LIMITED

Whether company is SME

No

Class of Security

Equity Shares

Type of report

Quarterly

Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)

31-12-2023

Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date

Shareholding pattern filed under

Regulation 31 (1) (b)

Whether the listed entity is Public Sector Undertaking (PSU)?

No

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Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table I - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	Nos. of fully paid up equity shares held (IV)	Nos. Of Partly paid up equity shares held (V)	Nos. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of voting rights held in each class of securities (IX)			
								No. of voting (RV) rights			Total as a % of (A+B+C)
								Class #E E	Class #F F	Total	
(A)	Promoter & Promoter Group	12	2534051			2534051	85.87	25,34,051.00		25,34,051.00	85.87
(B)	Public	1542	3050949			3050949	54.83	3050949.00		3050949.00	54.83
(C)	Non Promoter - Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	1554	5585000			5585000	100	5585000.00		5585000.00	100.00

No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
									Shareholding (No. of shares) under		
				No (a)	As a % of total Shares held (b)	No (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
			45.37					2534051			
			54.63					3010467	0	0	0
			100					5544518	0	0	0

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)
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A Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

(1) Indian						
(a)	Individuals (including family)	9	784350			784350
(b)	Central Government/State Government(s)					
(c)	Financial Institutions/Banks					
(d)	Other Bodies (Specify)	3	1749701			1749701
Sub-Total (A)(1)		12	2534051			2534051
(2) Foreign						
(a)	Individuals (Non-Resident Individuals/ Foreign Nationals)					
(b)	Government					
(c)	Institutions					
(d)	Foreign Institutional Investor					
(e)	Other Bodies (Specify)					
Sub-Total (A)(2)						
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		12	2534051			2534051

Details of Shares Held in Name of Promoter & Promoter Group

B Table III - Statement showing shareholding pattern of the Public shareholder

Note: Kindly show details of shareholders having more than one percentage of total no. of shares. Please refer to the

(1) Institutions (Domestic)						
(a)	Mutual Funds					
(b)	Insurance Companies					
(c)	Public Provident Funds					
(d)	Other Bodies (Specify)					
(e)	Other Bodies (Specify)					
(f)	Other Bodies (Specify)					
(g)	Other Bodies (Specify)					

(h)	Sovereign Wealth Funds					
(i)	NBFCs registered with RBI					
(j)	Other Financial Institutions					
(k)	Any Other (specify)					
Sub-Total (B)(1)						
(2)	Institutions (Foreign)					
(a)	Foreign Direct Investment					
(b)	Foreign Venture Capital Investors					
(c)	Sovereign Wealth Funds					
(d)	Foreign Portfolio Investors Category I	1	550000			550000
(e)	Foreign Portfolio Investors Category II					
(f)	Overseas Depositories (holding DRs) (balancing figure)					
(g)	Any Other (specify)					
Sub-Total (B)(2)		1	550000			550000
(3)	Central Government / State Government(s)					
(a)	Central Government / President of India					
(b)	State Government / Governor					
(c)	Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter					
Sub-Total (B)(3)						
(4)	Non-institutions					
(a)	Associate companies / Subsidiaries					
(b)	Directors and their relatives (excluding independent directors and nominee directors)					
(c)	Key Managerial Personnel					
(d)	Relatives of promoters (other than "immediate relatives" of promoters disclosed under "Promoter and Promoter Group category")					
(e)	Trusts where any person belonging to "Promoter and Promoter Group" category is trustee, beneficiary, or author of the trust					
(f)	Investor Education and Protection Fund (IEPF)	1	126011			126011

(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	1454	609823		609823
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	9	966230		966230
(i)	Non Resident Indians (NRIs)	4	4095		4095
(j)	Foreign Nationals				
(k)	Foreign Companies				
(l)	Bodies Corporate	31	746422		746422
(m)	Any Other (specify)	42	48368		48368
Sub-Total (B)(4)		1541	2500949		2500949
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		1542	3050949		3050949

Details of the shareholders acting as persons in Concert for Public

Details of Shares which remain unclaimed for Public

C Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

(1)	Category of Shareholder: Name of DR Holders (if Available)				
(2)	Employee Stock Option Scheme, Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2005				
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)					
Total (A+B+C2)		1554	5585000		5585000
Total (A+B+C)		1554	5585000		5585000

Disclosure of notes on share

Disclosure of notes in case of promoter holding in dematerialised form is less than

Disclosure of notes in case of public share holding is less than

Disclosure of notes on shareholding pattern for company referred

Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities(IX)				Total as a % of Total Voting rights	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)
	No of Voting (XIV)Rights							
	Class eg: x	Class eg: y	Total					

14.04	784350.00		784350	14.04			
31.33	1749701.00		1749701	31.33			
45.37	2534051.00		2534051	45.37			

45.37	2534051.00		2534051	45.37			

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9.85	550000		550000	9.85			
9.85	550000		550000	9.85			

2.26	126011		126011	2.26			

10.92	609823	609823	10.92		
17.30	966230	966230	17.30		
0.07	4095	4095	0.07		
13.36	746422	746422	13.36		
0.87	48368	48368	0.87		
44.78	2500949.00	2500949	44.78		
54.63	3050949	3050949	54.63		

100.00	5585000.00	5585000	100.00			
100.00	5585000.00	5585000	100.00			

reholding pattern

Add Notes

in 100 percentage

Add Notes

an 25 percentage

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marks explanatory

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9.85		
9.85		

550000	0	0
550000	0	0

2.26		

126011	0	0

10.92		
17.30		
0.07		
13.36		
0.87		
44.78		
54.53		

578441	0	0
966230	0	0
1095	0	0
740322	0	0
48368	0	0
2460467	0	0
3010467	0	0

100.00				5544518	0	0
100.00				5544518	0	0

s

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Sub-category (iii)

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Searial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting
						No of Voting
						Class eg:X

A1(a) Individuals/Hindu undivided Family[Add](#)[Delete](#)

1	AJAY KUMAR KAYAN	AGGPK0892G	400550	400550	7.17	400550.00
2	LALITA KAYAN	AGGPK0893H	154300	154300	2.76	154300.00
3	NILANGI PAREKH	AJPPP3852K	123000	123000	2.20	123000.00
4	UTSAV PAREKH	AGHPP4467H	96200	96200	1.72	96200.00
5	RAHUL KAYAN	AGAPK0442L	7000	7000	0.13	7000.00
6	SUMAN BHARTIA	AEEP89602B	1500	1500	0.03	1500.00
7	GAURI SHANKAR AJAY KUMAR (HUF)	AABHG5183R	1000	1000	0.02	1000.00
8	AJAY KUMAR KAYAN (HUF)	AACHA9009B	700	700	0.01	700.00
9	PAYAL SARAF	AFYPK7045N	100	100	0.00	100.00
Click here to go back			Total	784350	14.04	784350.00

ing Rights held in each class of securities (IX)		Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(XI)(a) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
(XIV) Rights	Total as a % of Total Voting rights				
Total					

400550.00	7.17	7.17	400550		Promoter
154300.00	2.76	2.76	154300		Promoter Group
123000.00	2.20	2.20	123000		Promoter Group
96200.00	1.72	1.72	96200		Promoter
7000.00	0.13	0.13	7000		Promoter Group
1500.00	0.03	0.03	1500		Promoter Group
1000.00	0.02	0.02	1000		Promoter Group
700.00	0.01	0.01	700		Promoter Group
100.00	0.00	0.00	100		Promoter Group
784350.00	14.04	14.04	784350		

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Sr. No	Details of the SBO					Details of the registrant		
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national
significant beneficial owners								
Add Delete								
1	KAYAN	AGGPX0892G		India		MACKERTICH CONSULTANCY	AABCM5680Q	

Beneficial owner		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
India			19.98		No	No	30-01-1995

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Serial No.	Category	Name of the Shareholders (i)	PAN (ii)	No. of the Shareholders (i)	No. of fully paid up equity shares held (iv)	Total nos. shares held (VII) = (IV)+(V)+ (VI)
A1(d)	Any Other (specify)					
Add Delete						
1	Bodies Corporate	MACKERTICH CONSULTANCY SERVICES PRIVATE	AABCM5680Q	1	1115700	1115700
2	Bodies Corporate	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	AABCP6020F	1	357251	357251
3	Bodies Corporate	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	AADCS8612C	1	276750	276750
Click here to go back				Total	3	1749701

Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
	No of Voting (XIV) Rights		Total as a % of Total Voting rights				
	Class eg: X	Total					
19.98	1115700.00	1115700.00	19.98	19.98	1115700		Promoter
6.40	357251.00	357251.00	6.40	6.40	357251		Promoter
4.96	276750.00	276750.00	4.96	4.96	276750		Promoter
31.33	1749701.00	1749701.00	31.33	31.33	1749701		

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Searial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class (IX)	
						No of Voting (XIV) Rights	
						Class eg: X	Total
B2(d)	Foreign Portfolio Investors Category I						
Add Delete Disclosure of shareholder holding more than 1% of total number of shares							
1	THE INDIAMAN FUND (MAURITIUS) LIMITED	AAACT4855D	550000	550000	9.85	550000.00	550000.00
Click here to go back		Total	550000	550000	9.85	550000.00	550000.00

ss of securities		Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
Total as a % of Total Voting rights	Shareholding (No. of shares) under						
	Sub-category (i)				Sub-category (ii)	Sub-category (iii)	
9.85	9.85	550000		0	0	0	
9.85	9.85	550000		0	0	0	

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Searial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class (IX)	
						No of Voting (XIV) Rights	
						Class eg: X	Total

B4(I) Bodies Corporate[Add](#)[Delete](#)

Disclosure of shareholder holding more than 1% of total number of shares

1	Astra Merchandising Private Limited	AAACA6338G	269509	269509	4.83	269509.00	269509.00
2	Antriksh Vyapaar Limited	AACCA5697E	240408	240408	4.30	240408.00	240408.00
3	Maya Trade Links Limited	AAACM2192G	104862	104862	1.88	104862.00	104862.00
4	Bishnauth Investments Limited	AABCM7767N	74000	74000	1.32	74000.00	74000.00
Click here to go back			Total	688779	688779	12.33	688779.00

Total as a % of Total Voting rights	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
				Shareholding (No. of shares) under		
				Sub-category (i)	Sub-category (ii)	Sub-category (iii)
4.83	4.83	269509		0	0	0
4.30	4.30	240408		0	0	0
1.88	1.88	104862		0	0	0
1.32	1.32	74000		0	0	0
12.33	12.33	688779		0	0	0

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Searial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class (IX)	
						No of Voting (XIV) Rights	
						Class eg: X	Total
B4(f)	Investor Education and Protection Fund (IEPF)						
Add Delete		Disclosure of shareholder holding more than 1% of total number of shares					
1	INVESTOR EDUCATION AND PROTECTION FUND	ZZZZZ9999Z	126011	126011	2.26	126011.00	126011.00
Click here to go back		Total	126011	126011	2.26	126011.00	126011.00

ss of securities		Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
Total as a % of Total Voting rights	Shareholding (No. of shares) under						
	Sub-category (i)				Sub-category (ii)	Sub-category (iii)	
2.26	2.26	126011	1	0	0	0	
2.26	2.26	126011		0	0	0	

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Searial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class (IX)	
						No of Voting (XIV) Rights	
						Class eg: X	Total
B4(h)	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.						
AddDelete Disclosure of shareholder holding more than 1% of total number of shares							
1	MINAL BHARAT PATEL	AACPPS126G	276801	276801	4.96	276801.00	276801.00
2	PUNJABHAI KESHAVBHAI JADEJA	AEDPK1160L	225511	225511	4.04	225511.00	225511.00
3	SANGEETHA S	AIJPS3739F	182590	182590	3.27	182590.00	182590.00
4	MITHALAL HASTHIMAL GANDHI	ABGPG9310G	59774	59774	1.07	59774.00	59774.00
5	BHOPENDRA KUMAR	APMPK3832R	102873	102873	1.84	102873.00	102873.00
Click here to go back			Total	847549	15.18	847549.00	847549.00

ss of securities		Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
Total as a % of Total Voting rights	Shareholding (No. of shares) under						
	Sub-category (i)				Sub-category (ii)	Sub-category (iii)	
4.96	4.96	276801		0	0	0	
4.04	4.04	225511		0	0	0	
3.27	3.27	182590		0	0	0	
1.07	1.07	59774		0	0	0	
1.84	1.84	102873		0	0	0	
15.18	15.18	847549		0	0	0	

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Serial No.	Category	Category / More than 1 percentage	Name of the Shareholders (i)	PAN (ii)	No. of the Shareholders (i)	No. of fully paid up equity shares held (iv)	Total nos. shares held (V-i) + (IV)+(V)+ (V)
B4(m)	Any Other (specify)						
Add Delete							
1	HUF	Category			40	34168	34168
2	Clearing Members	Category			2	14200	14200
Click here to go back					Total	42	48368

Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
	No of Voting (XIV) Rights					Shareholding (No. of shares) under		
	Class eg X	Total				Sub-category (i)	Sub-category (ii)	Sub-category (iii)
0.61	34168	34168	0.61	0.61	34168	0	0	0
0.25	14200	14200	0.25	0.25	14200	0	0	0
0.86	48368.00	48368.00	0.86	0.86	48368	0	0	0

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100.00	9.92
As on the end of previous 1st quarter	100.00	9.92
As on the end of previous 2nd quarter	100.00	9.92
As on the end of previous 3rd quarter	100.00	9.92
As on the end of previous 4th quarter	100.00	9.92

554095.00

554095.00

554030.00

554035.00

554035.00

Notes :-

- 1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities
- 2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.